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FRANKFURT

DATE: January 15, 2009

FILE #21302/0205263-US0:

FACSIMILE NO.	RECIPIENT AND COMPANY	CONFIRMATION WILL FOLLOW
571-273-0419	PCT Legal Dept Patent & Trademark Office	No

FROM: Julian A. Williams E-MAIL: jwilliams@darbylaw.com

PHONE: 917.286.2940

NO. OF PAGES: 13  
(including cover page)

COMMENTS:

*2nd request for corrected  
filing receipt*

PLEASE RETURN TO JULIAN A. WILLIAMS

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Docket No.: 21302/0205263-US0

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Joongseo Park

Serial No.: 10/597,607

Filed: August 3, 2006

For: III-NITRIDE COMPOUND SEMICONDUCTOR LIGHT EMITTING DEVICE

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner of Patents and Trademarks  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.
2. There is an error with respect to the following data, which is:

incorrectly entered  
 omitted. and/or

<b>Error in</b>	<b>Correct data</b>
<input type="checkbox"/> Applicant's name	
<input type="checkbox"/> Applicant's address	
<input type="checkbox"/> Title	
<input checked="" type="checkbox"/> Filing Date	08/03/2006
<input type="checkbox"/> Application Number	
<input checked="" type="checkbox"/> Domestic Priority Data:	This Application is a 371 of PCT/KR05/00360 02/05/2005
<input type="checkbox"/> Other	

**Remarks:**

Enclosed please find a copy of the Executed Declaration That shows the correct Domestic priority data. Also enclosed is the Electronic Acknowledgement that shows the correct filing date of the Declaration which should be 8/3/2006.

The Commissioner is respectfully requested to issue a new and correct Filing Receipt.

Respectfully submitted,

Dated: April 7, 2008



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Flynn Barrison  
Reg. No. 53,970  
Agent for Applicant(s)

DARBY & DARBY P.C.  
P.O.Box 770  
Church Street Station  
New York, NY 10008-0770



## UNITED STATES PATENT AND TRADEMARK OFFICE

21302/0205263-US0

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING or 371(e) DATE	GRP ART UNIT	FIL FEE RECD	ATTY.DOCKET.NO	TOT CLAIMS	IND CLAIMS
10/597,607	08/01/2006		900	20506/0205263-US0	4	1

7278  
 DARBY & DARBY P.C.  
 P.O. BOX 770  
 Church Street Station  
 New York, NY 10008-0770

CONFIRMATION NO. 3725  
 FILING RECEIPT



OC000000026991283

Date Mailed: 03/25/2008

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections.

## Applicant(s)

Joongseo Park, Kyunggi-do, KOREA, REPUBLIC OF;  
 Assignment For Published Patent Application  
 EPIVALLEY CO., LTD, Gyunggi-Do, KOREA, REPUBLIC OF  
 Power of Attorney: The patent practitioners associated with Customer Number 07278

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/KR05/00360 03/05/2005

## Foreign Applications

REPUBLIC OF KOREA 10-2004-0007541 02/05/2004

## If Required, Foreign Filing License Granted: 03/20/2008

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 10/597,607**

Projected Publication Date: 06/26/2008

Non-Publication Request: No

Early Publication Request: No

Computer None  
 D&D Entry None  
 Leaseleaf None  
 Folder None  
 Card None  
 Letter None  
 Foreign Filg. None

**Title**

III-Nitride Compound Semiconductor Light Emitting Device

**Preliminary Class****PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but does not result in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier

license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



## UNITED STATES PATENT AND TRADEMARK OFFICE

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21302/02052632

U.S. APPLICATION NUMBER NO., 727.8 DARBY & DARBY P.C. P.O. BOX 770 Church Street Station New York, NY 10008-0770	FIRST NAMED APPLICANT Joongseo Park	ATTY. DOCKET NO. 20506/0205263-US0	
		INTERNATIONAL APPLICATION NO. PCT/KR05/00360	
		I.A. FILING DATE 03/05/2005	PRIORITY DATE 02/05/2004

CONFIRMATION NO. 3725  
 371 ACCEPTANCE LETTER



OC000000028991284

Date Mailed: 03/25/2008

## NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.495

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as a Designated / Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are:

08/01/2006

0/31/06

08/05/2006

DATE OF RECEIPT OF 35 U.S.C. 371(c)(1),  
(c)(2) and (c)(4) REQUIREMENTSDATE OF COMPLETION OF ALL  
35 U.S.C. 371 REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. THE DATE APPEARING ON THE FILING RECEIPT AS THE "FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 (c)(1), (c)(2) and (c)(4) REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE. The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363). Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received:

- Copy of the International Application filed on 08/01/2006
- Copy of the International Search Report filed on 08/01/2006
- Information Disclosure Statements filed on 08/01/2006
- Oath or Declaration filed on 08/01/2006
- U.S. Basic National Fees filed on 08/01/2006
- Priority Documents filed on 08/01/2006
- Specification filed on 08/01/2006
- Claims filed on 08/01/2006
- Abstracts filed on 08/01/2006
- Drawings filed on 08/01/2006

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

NADINE V CLARK

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Telephone: (703) 308-9140 EXT 219

page 2 of 2

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

PTO/SB/110 (05-95)  
Approved for use through 10/31/02. OMB 0651-0032  
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

## Declaration and Power of Attorney for Patent Application

### 특허 출원 관련 선언 및 위임권

#### Korean Language Declaration

아래 지정된 발명자로서, 본인은 하기 사항을 선언합니다.

본인의 거주지, 우송 주소 및 국적은 본인의 성명 아래에 기재된 것과 동일합니다.

본인은 아래 명시된 발명에 대한 주권을 칭구하는 주체의 최초 원작 단독 발명자이거나 (아직은 한 공동원이 기재된 경우) 또는 최초 원작 공동 발명자임을 (아직은 여러 공동이 기재된 경우) 확인합니다.

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

III-NITRIDE COMPOUND SEMICONDUCTOR LIGHT  
EMITTING DEVICE

다음 날의 척크되어 있지 않은 본 발명의 명세서가 여기에 첨부됩니다.

미합중국 출원번호 또는 PCT 국제 출원번호는  
PCT/KR2005/000360      보  
5 February 2005      일에 출원되었고  
                            일에 개정되었음  
( 해당 경우 ).

III-NITRIDE COMPOUND SEMICONDUCTOR LIGHT  
EMITTING DEVICE

the specification of which is attached hereto unless the following box is checked:

was filed on 5 February 2005  
as United States Application Number or PCT  
International Application Number  
PCT/KR2005/000360 and was amended on  
\_\_\_\_\_ (if applicable).

본인은 상기 개정에 의해 수정된 상기 명세서는 모든 주체 칭구와 내용을  
정확으로 확인함을 확인합니다.

I hereby state that I have reviewed and understand the  
contents of the above identified specification, including the  
claims, as amended by any amendment referred to above.

본인은 연방 규정 제37 상의 제1.56 항에 의거하여 무어 저작에  
관한 자료 정보를 공개할 의무를 인정합니다.

I acknowledge the duty to disclose information which is  
material to patentability as defined in Title 37, Code of  
Federal Regulations, Section 1.56.

PTO/SB/110 (05-05)

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Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## Korean Language Declaration

본인은 외국인 특허 출원(등)이나 발명자의 증명서 관련 경우에는 미합중국  
코드법 제35장의 제17.9(a)-(d) 항이나 제365(b) 항에 의거하여 또는  
미합중국 외에 적어도 한 국가를 지정하는 PCT 국제 출원의 경우에는  
제365(b) 항에 의거하여 하기 명시된 특허 출원의 외국 우선권을  
주장하며, 외국인 특허 출원, 발명자 증명서 또는 우선권이 주장되는  
출원일 이전에 제출된 PCT 국제 출원도 또한 여기에 해당하는  
제그림으로서 확인되었음을.

I hereby claim foreign priority under Title 35, United States  
Code Section 119(a)-(d) or Section 365(b) of any foreign  
application(s) for patent or inventor's certificate, or 365 (a) of  
any PCT International application which designated at least  
one country other than the United States listed below and  
have also identified below, by checking the box, any foreign  
application for patent or inventor's certificate, or PCT  
International application having a filing date before that of  
the application for which priority is claimed.

Priority Not Claimed 우선권 주장 있음 

10-2004-0007541 (Number) (번호)	REPUBLIC OF KOREA (Country) (국가)	5 February 2004 (Day/Month/Year Filed) (출원일자 양/월/년)
_____	_____	_____

본인은 미합중국 코드법 제35장 제119(e)에 명시된 바와 같이 하기  
미합중국 가동권에 관련된 특권을 요구합니다.

I hereby claim the benefit under Title 35, United States  
Code, Section 119(e) of any United States provisional  
application(s) listed below.

(Application No.) (출원 번호)	(Filing Date) (출원일자)
_____	_____
(Application No.) (출원 번호)	(Filing Date) (출원일자)

본인은 미합중국 코드법 제35장의 미국인 출원(등) 관련 제20항에  
명시된 바와 같이 또는 미합중국을 지정하는 PCT 국제 출원 관련  
제365(c) 항에 명시된 바와 같이 하기 특권을 요구합니다. 이  
출원서에 있는 각 특허 청구의 내용이 미합중국 코드법 제35장  
제112항의 첫번째 절에서 명시된 바와 같이 종전의 미국 또는 PCT  
국제 출원에 기재되지 않았으면 본인은 연방 규정 코드법 제37장  
제1.56항에 명시된 바와 같이 종전 품질법과 이 출원서의 주제 또는  
PCT 국제 출원일자 사이에 두어 각각에 대한 자료 정보를 공개할  
의무를 인정합니다.

I hereby claim the benefit under Title 35, United States  
Code, Section 120 of any United States application(s), or  
Section 365(c) of any PCT International application  
designating the United States, listed below and, insofar as  
the subject matter of each of the claims of this application is  
not disclosed in the prior United States or PCT International  
application in the manner provided by the first paragraph of  
Title 35, United States Code Section 112, I acknowledge the  
duty to disclose information which is material to patentability  
as defined in Title 37, Code of Federal Regulations, Section  
1.56 which became available between the filing date of the  
prior application and the national or PCT International filing  
date of this application.

(Application No.) (출원 번호)	(Filing Date) (출원일자)	(Status) (patented, pending, abandoned) (현황) (특허 획득, 출원중, 포기)
_____	_____	_____
(Application No.) (출원 번호)	(Filing Date) (출원일자)	(Status) (patented, pending, abandoned) (현황) (특허 획득, 출원중, 포기)

본인이 이는 한도 내에서 여기에 제기된 모든 내용이 사실이고, 제기된  
정보나 소신에 모두 사실임을 확인하며, 다만 이가 미합중국 코드  
제1001절에 명시된 바와 같이 그의의 여의 진실 및 이와  
유사한 행위는 법금이나 추옥으로 처벌 받거나 법금과 징역형을 모두 받을  
수 있고, 이어면 그의의 여의 전술은 특허 출원이나 우편 발급된 특허의  
유보성을 위태롭게 험흉 연지하면서 여기에 진술함을 선언합니다.

I hereby declare that all statements made herein of my own  
knowledge are true and that all statements made on  
information and belief are believed to be true; and further,  
that these statements were made with the knowledge that  
willful false statements and the like so made are punishable  
by fine or imprisonment, or both, under Section 1001 of Title  
18 of the United States Code and that such willful false  
statements may jeopardize the validity of the application or  
any patent issued thereon.

PTO/SB/110 (05-95)

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Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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## Korean Language Declaration

한국: 차명된 발명자로서 본인은 이 특허를 충원하고 이와 관련하여  
및 상표청이 요구하는 실무를 처리하기 위해서 하기 번호사(등)  
는 대리인(들)을 임명합니다. (성명 및 등록번호 기입)

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: (list name and registration number).

Practitioners associated with Customer Number  
07278

## 서신 수신자

Address associated with Customer Number  
07278  
DARBY & DARBY P.C.  
P.O. Box 5257  
New York, New York 10150-5257  
직통 전화 수신자 성명 및 전화번호

Peter C. Schechter, (212)527-7723

## Send Correspondence to:

Address associated with Customer Number  
07278  
DARBY & DARBY P.C.  
P.O. Box 5257  
New York, New York 10150-5257

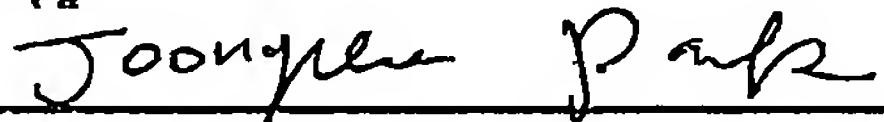
Direct Telephone Calls to: (name and telephone number)

Peter C. Schechter, (212)527-7723

단독 또는 첫번째 발명자의 성명  
Joongseo PARK

Full name of sole or first inventor  
Joongseo PARK

발명자의 서명



Inventor's signature

Date 2006-7-25



거주지

Kyunggi-do, Republic of Korea

Residence

Kyunggi-do, Republic of Korea

국적

Republic of Korea

Citizenship

Republic of Korea

우편 주소

606-103, Samsung Raemian 6-cha Apt., 1167,  
Bojeong-ri, Kuseong-eup, Yougin-si,  
Kyunggi-do 449-564  
Republic of Korea

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606-103, Samsung Raemian 6-cha Apt., 1167,  
Bojeong-ri, Kuseong-eup, Yougin-si,  
Kyunggi-do 449-564  
Republic of Korea

두 번째 및 이후 공동 발명자의 이름

Full name of second joint inventor, if any

두 번째 및 이후 공동 발명자의 서명

Second inventor's signature Date

거주지

Residence

국적

Citizenship

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## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	1140195
<b>Application Number:</b>	10597607
<b>Confirmation Number:</b>	3725
<b>Title of Invention:</b>	III-NITRIDE COMPOUND SEMICONDUCTOR LIGHT EMITTING DEVICE
<b>First Named Inventor:</b>	Joongseo Park
<b>Customer Number:</b>	7278
<b>Filer:</b>	Jay Philip Lessler/Lillian Garcia
<b>Filer Authorized By:</b>	Jay Philip Lessler
<b>Attorney Docket Number:</b>	20506/0205263-US0
<b>Receipt Date:</b>	03-AUG-2006
<b>Filing Date:</b>	
<b>Time Stamp:</b>	17:09:24
<b>Application Type:</b>	U.S. National Stage under 35 USC 371
<b>International Application Number:</b>	

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<b>Multipart Description</b>					
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**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

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